# Automated Approaches to Test Generation in Simulationbased Verification

Verification Futures 2022

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# Overview

#### Background

- Capri & Robopilot
- Research aims

#### **Agency-Directed Test Generation**

- 'Good' Test Case
- Gridworld Case Study
  - Agent types
  - Results
- Additional Agent Improvements
  - Q-Agent
  - EBM for spawn location
- Porting Agents



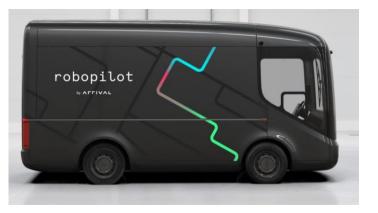
3D testing environment (Carla)

# Introduction

- Addressing challenge of AV testing
- How do we detect software failures?
- Gain confidence in the correctness of the system?
- Simulation allows
  - Full control of the environment
  - Increase rare event frequency
  - Provide evidence to regulators
- How to effectively generate tests?
  - Can this be automated?
  - Can we still achieve interesting tests if automated?
- How much testing for sufficient confidence? And can we be more targeted?
  - 275 million miles road testing (12.5 years with 100 vehicles)
  - 7 billion miles of simulation achieved (Waymo)
- What are the current methods?
  - Can **agency** assist in test generation?
  - Does this method outperform random? At what cost?



CAPRI Driverless POD



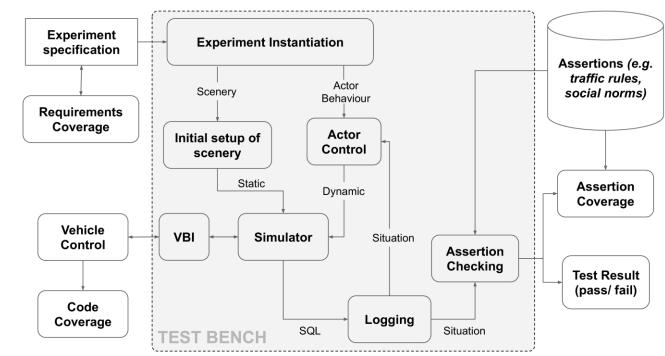
ROBOPILOT autonomous delivery truck

# **Research Questions**

- Verification
  - Correctness in a system relative to requirements
    Testing ensure behaviour does not differ from
  - intention
- Simulation
  - Full control of environment
  - Provide evidence to regulators
- Testbench
  - Provide stimulus to DUV (Design under Verification)
    Automated = more efficient
- Test generation
  - Random is useful

  - Selecting *minimal effective* set of tests Automating valid test generation challenging
  - Automating realistic (interesting) test cases
- AV as DUV
  - Tests to interact with AV (change behaviour)
  - May conflict with mission goal
- Use of agency to verify the DUV
  - Software agents goals -> verification goals Agents can interact/ coordinate

  - Agents sense environment
- **Research** questions
  - Can agents beat random test generation?Can they generate 'good' test cases?



# Agency-Directed Test Generation

Can test generation be automated through the use of agency?

## Properties of a 'good' test case

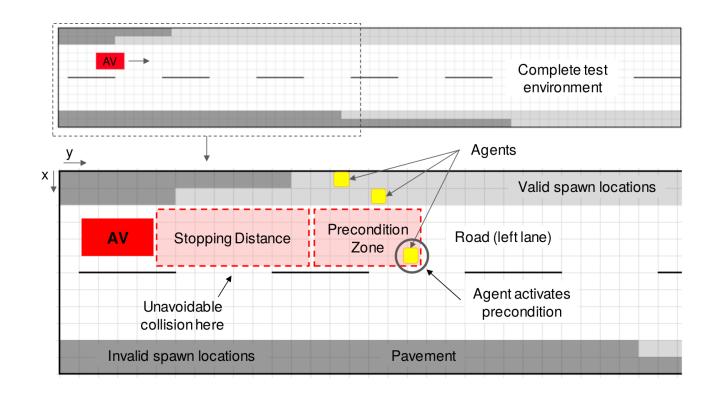
Optimum Test Case Property	Agent Success Metric
Effectiveness at detecting failures	Accuracy of test generation per episode
Efficiency in tests to reach verification goals	Tests/tick simulation
Economy of resource usage	CPU Time used and LOC
Robustness to changes	Use agent in alternative environment
Realistic representation of reality	Compare to <b>reality</b>

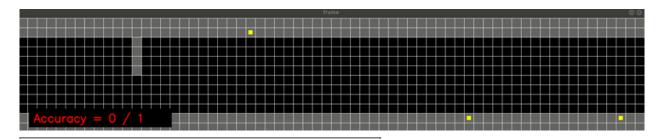
Methodology

Compare Agency-directed test generation vs. Random

### Test Environment for Agents

- Test Environment
  - Measure success
  - Develop agents
  - Python gridworld
- Pedestrian safety test
  - Euro-NCAP CPNA-25
- No AI in controller
  - AV moves fixed speed
- Dynamics
  - AV 30 km/h (~9m/s)
  - Agents 4.5 km/h (~1.5m/s)
- Episode terminates
  - Precondition zone Successful test
  - AV exits road Unsuccessful test
  - Repeated 1x10<sup>4</sup>





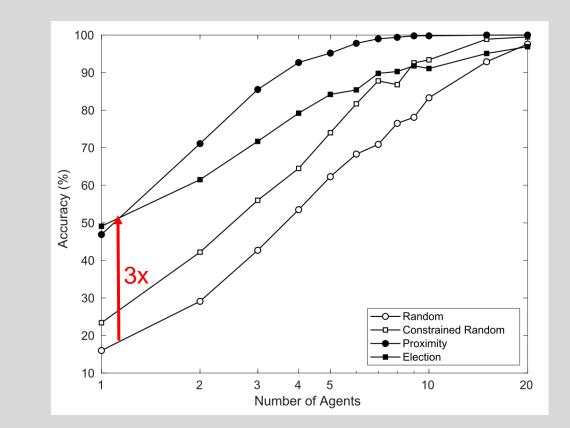
Python gridworld for pedestrians with random actions



Agent Type	Action
Gaussian (Random)	Move in random direction each sim tick
Constrained (Random)	Move along pavement, randomly cross road
Proximity (Agency)	Move along pavement, cross road when <b>vehicle is r&lt;15</b>
Election (Agency)	As proximity but <b>elect single agent</b> which is closest

#### **Results - Accuracy**

- Easy to find tests with lots of agents
- Lots of agents = high resource usage
- Agency-directed more accurate
- Up to 3x more accurate than random
- 1x agency = 4x random

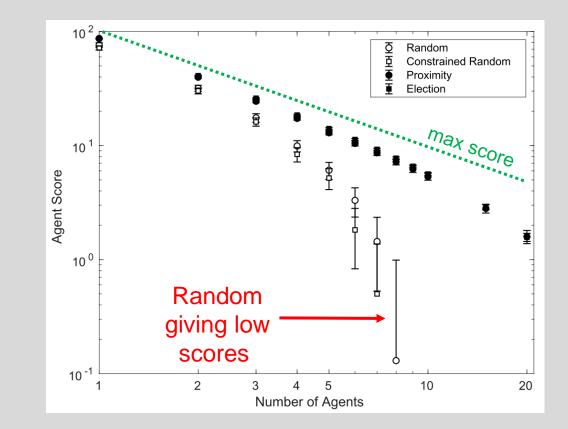


Agency-directed generate tests more successfully than random

#### **Results - Realism**

### **Realism Score**

- Based on observation
  - Pedestrians time in road
- Agency-directed higher score
  - Less time in road

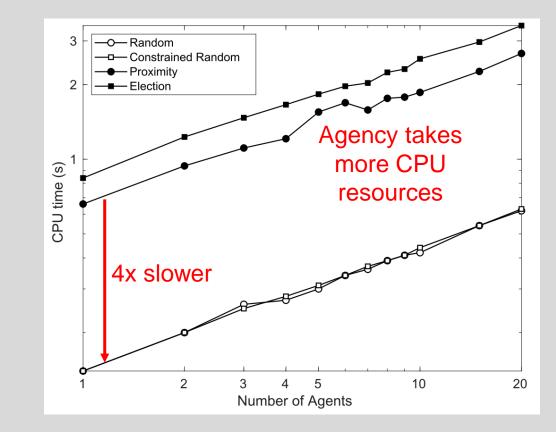


A lower score is a result of a longer test and more time spent in the road

#### Results - Economy

### **CPU** Time

- Measure of action execution time
- Random very fast!
- Agency less economic

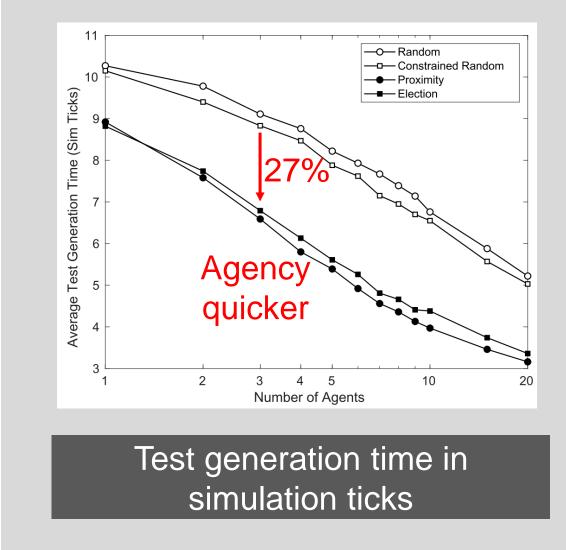


Random methods are faster than agency-directed methods

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Results - Efficiency
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#### **Test Generation Time**

- How long to generate a successful test on average?
- Random takes more sim ticks
- Fewer ticks may be important if simulation is expensive to run



### **Results Summary**

Method (n=3)	LOC	Accuracy (%)	CPU time (s)	Score	Sim ticks
Random	23	42.7	0.09	0.56	9.11
Constrained	82	56.0	0.08	0.52	8.83
Proximity	86	85.5	0.37	0.78	6.79
Election	235	71.7	0.49	0.83	6.59
	Economy	Effectiveness	Economy	Realism	Efficiency

#### Agents for Verification

• Agency-based test generation methods get to **useful** test cases **quicker** 

Chance, G., et al., (2019). An Agency-Directed Approach to Test Generation for Simulation-based Autonomous Vehicle Verification. http://arxiv.org/abs/1912.05434 <u>https://ieeexplore.ieee.org/abstract/document/9176833</u>

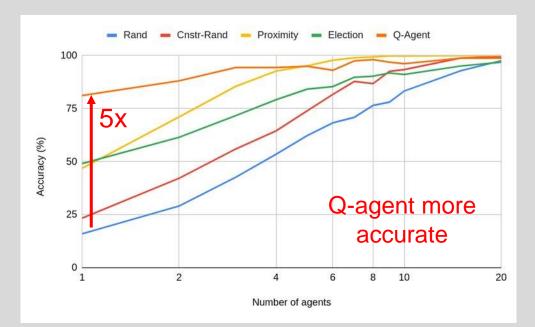
# Additional Agent Improvements

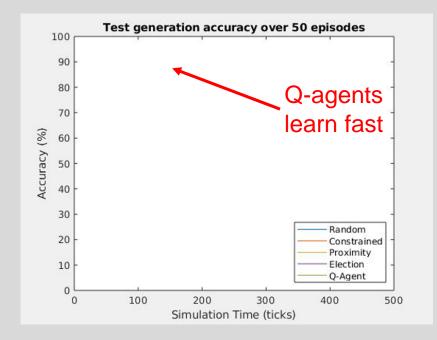
Making agents more effective and robust

### Improving Agent Learning

- General agent framework
  - Reinforcement learning
  - Approximate Q-learning
- Functional approximation of environment
  - e.g. is AV moving away/toward agent?
- Agents learn quickly ~10 episodes
- Adapt to other assertions
  - Change agent(s) goal state

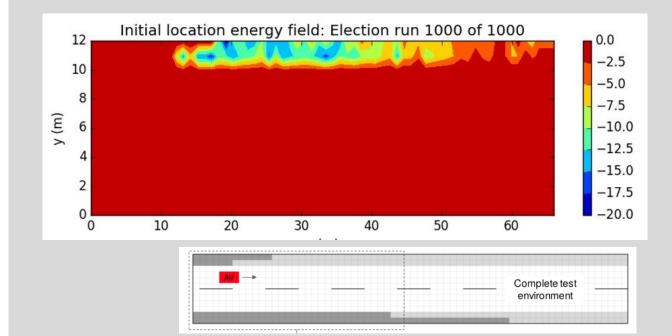
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Election	235	71.7	0.49	6.59
Q-agent	251	94.4	1.71	7.31





## **Improving Agent Placement**

- Energy Based Model
- Previously random start
  - Improved agent accuracy
  - Learnt good start locations
- Find F(x,y) that maximises reward
- Learn Energy Field
  - Build from previous success
  - Exploit field
  - Improves accuracy
- Results
  - Random accuracy improvement
  - Agents improved with cost
- Future
  - Estimate EBM on environment, actors



	CPU Time (s)			
	Rand	Prox.	Elec.	
No Field	2.05	9.04	12.3	
Energy Field	1.98	13.7	17.3	
CPU time (%) =	~	+51.5%	+40.9%	
Accuracy (%) =	+5.0%	+10.8%	+13.3%	

## Improving Environment

Improved environment:

- Multi-agent development (CAV-GYM) Continuous (non-gridworld)
- Dynamic braking/assertion zones
- Occluded actors

Easier ML

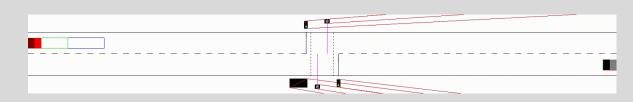
Improved Vehicle Agent

- Used Q-learning
- More capable, less coding
- Feature-based weight updates
- Future: game theoretic approach

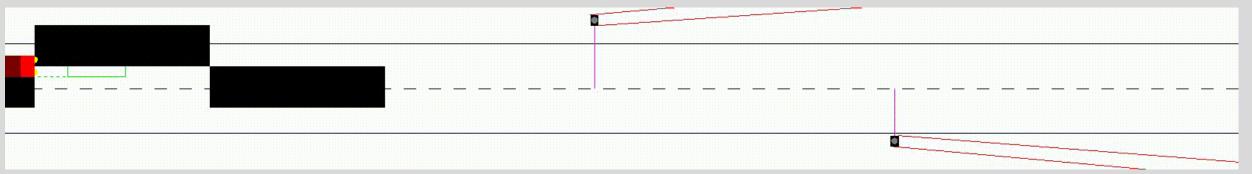
#### https://github.com/TSL-UOB/CAV-Gym







**Pedestrian crossing** 



#### **Q-learning Vehicle agent**

## **Porting Trained Agents**

Full 3D environment

- Ported agents
- Training agents "offline"
  - Use 2D world for dev
  - Optimise
  - Train faster
- CAPRI integration
  - Proved verification agent
  - Real POD controller





# Thank you for your attention :)

Hopefully you learnt about:

- good test cases
- How software agents can beat random test generation
- How Q-learning and EBM can improve agent performance

I look forward to your questions greg.chance@bristol.ac.uk



Thanks to collaborators: Kerstin Eder, Abanoub Ghobrial and Kevin McAreavey











https://github.com/ TSL-UOB/CAV-Gym